

SURFACE TRANSPORTATION BOARD - QUARTERLY REPORT OF REVENUES, EXPENSES, AND INCOME - RAILROADS

Washington, DC 20423

Expiration Date 11-30-2021

FORM RE&I

OMB Clearance No. 2140-0013

Expiration Date 11-30-2021

Page 1 of 2

Railroad: _____

Date of Report _____

Quarter _____ Year _____

Amended _____

Description A	Code No.	Quarterly Figures		Cumulative Figures	
		This Year B	Last Year C	This Year D	Last Year E
Operating Revenues					
Freight (Account 101)	1				
Passenger (Account 102)	2				
Passenger-Related (Account 103)	3				
All Other Operating Revenues (Accounts 104, 105, 106, 110, 502, 503)	4				
Joint Facility Account (Account 120)	5				
Railway Operating Revenues (All Above)	6				
Operating Expenses					
Depreciation-Road (Accounts 62-11-00, 62-12-00, 62-13-00)	7				
All Other Way and Structure Accounts	8				
Total Way and Structures	9				
Depreciation-Equipment (Accounts 62-21-00, 62-22-00, 62-23-00)	10				
All Other Equipment Accounts	11				
Total Equipment	12				
Transportation-Train, Yard, and Yard Common	13				
Transportation-Specialized Services, Administration Support	14				
General and Administrative	15				
Railway Operating Expenses (Account 531)	16				
Income Items					
Net Revenue From Railway Operations (Lines 6 Minus 16)	17				
Other Income (Accounts 506, 510-519)	18				
Income from Affiliated Companies: Dividends	19				
Equity in Undistributed Earnings (Losses)	20				
Total Income from Affiliated Companies (Lines 19 and 20)	21				
Miscellaneous Deductions from Income (Accounts 534, 544, 545, 549, 550, 551, and 553)	22				
Income Available for Fixed Charges (Lines 17, 18, 21, Minus 22)	23				
Fixed Charges					
Interest on Funded Debt (Account 546)	24				
Interest on Unfunded Debt (Account 547)	25				
Amortization of Discount on Funded Debt (Account 548)	26				
Total Fixed Charges	27				
Income Items					
Income After Fixed Charges	28				
Unusual or Infrequent Items (Debit) Credit (Account 555)	29				
Income (Loss) from Continuing Operations Before Income Taxes	30				
Income Tax on Ordinary Income (Account 556)	31				
Provision for Deferred Income Taxes (Account 557)	32				
Income (Loss) from Continuing Operations	33				

Description A	Code No.			Cumulative Figures	
		This Year	Last Year	This Year	Last Year
Income Items (Continued)					
Income (Loss) from Operations - Less Applicable Income Taxes (Account 560)	34				
Gain (Loss) on Disposal of Discontinued Segments - Less Applicable Taxes (Account 562)					
	35				
Income (Loss) Before Extraordinary Items	36				
Extraordinary Items (Net) (Account 570)	37				
Income Taxes on Extraordinary Items (Account 590)	38				
Provision for Deferred Taxes - Extraordinary Items (Account 591)	39				
Cumulative Effect of Changes in Accounting Principles (Less Taxes) (Account 592)	40				
Net Income (Loss)	41				
Less: Net Income attributable to non-controlling interest	42				
Net Income attributable to reporting railroad	43				
Basic Earnings Per Share	44				
Diluted Earnings Per Share	45				
Dividends on Common Stock (Account 623)	46				
Dividends on Preferred Stock (Account 623)	47				
Expenses to Revenues (%)	48				
Total Maintenance to Revenues (%)	49				
Transportation to Revenues (%)	50				
Reconciliation of Net Railway Operating Income (NROI)					
Net Revenues From Railway Operations	51				
Income Taxes on Ordinary Income (Account 556)	52				
Provision for Deferred Taxes (Account 557)	53				
Income From Lease of Road and Equipment	54				
Rent for Leased Roads and Equipment	55				
Net Railway Operating Income	56				

SUPPLEMENTAL INFORMATION ABOUT THE QUARTERLY REPORT OF REVENUES, EXPENSES, AND INCOME (FORM RE&I)

The following information is provided in compliance with OMB requirements and pursuant to the Paperwork Reduction Act of 1995, 44 U.S.C. §§ 3501-3519 (PRA):

This information collection is mandatory pursuant to 49 U.S.C. § 11164 and 49 C.F.R. § 1243.1. The estimated hour burden for filing this report is six hours per report. The Board uses the information in this report to ensure competitive, efficient, and safe transportation through general oversight programs that monitor and forecast the financial and operating condition of railroads, and through regulation of railroad rate and service issues and rail restructuring proposals, including railroad mergers, consolidations, acquisitions of control and abandonments. Information from the reports is used by the Board, other Federal agencies and industry groups to monitor and assess industry growth and operations, detect changes in carrier financial stability, and identify trends that may affect the national transportation system. Individual and aggregate carrier information is needed in our decision making process. Information from these reports is compiled by the Board and published on its website, www.stb.dot.gov, where it may be maintained indefinitely. The compilation report is entitled Class I Railroads, Selected Earnings Data. All information collected through this report is available to the public. Paper copies of individual reports are maintained by the Board for ten years, after which they are destroyed. Under the PRA, a federal agency may not conduct or sponsor, and a person is not required to respond to, nor shall a person be subject to a penalty for failure to comply with, a collection of information unless it displays a currently valid OMB control number. Comments and questions about this collection (2140-0013) should be directed to Paperwork Reduction Officer, Surface Transportation Board, 395 E Street, S.W., Washington, DC 20423-0001.

CERTIFICATION

I the undersigned state that this report was prepared by me or under my supervision; that I have carefully examined it; and on the basis of my knowledge, belief, and verification (when necessary) I declare it to be a full, true, and correct statement of the revenue, expense, and income accounts named, and that the various items reported were determined in accordance with effective rules promulgated by the Surface Transportation Board.

Name (Printed) _____

Title _____

Date _____ Signature _____ Telephone Number _____